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GROUP ART UNIT: 2617

UNITED STATES PATENT AND TRADEMARK OFFICE

Phone: (571) 272-7926

Pages: Cover + 2 + 1 + 15 = 19

Date: January 22, 2008

From: Victor G. Cooper

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A handwritten signature in black ink, appearing to read 'Janet Shibata', written over a horizontal line.  
Janet Shibata  
(Printed Name of Depositor)January 22, 2008  
(Date of Signature)

Telephone No. 310-964-0736

Re: Serial No. 09/858,387

Attorney Docket No. PD-201006A

Filing Date: May 15, 2001

Please find attached:

- SUPPLEMENTAL DISCLOSURE STATEMENT (2 pages)
- SUBSTITUTE PTO FORM 1449 (1 page)
- COPY OF USPTO COMMUNICATION IN RELATED APPLICATION (15 pages)

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Telephone No. 310-964-0736

  
Janet ShibataPATENT  
Customer No. 020991

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Donald C.D. Chang et al.

Date: January 22, 2008

Serial No.: 09/858,387

Group Art Unit: 2617

Filed: May 15, 2001

Examiner: TORRES, Marcos L.

For: COMMUNICATION SYSTEM FOR  
MOBILE USERS USING  
ADAPTIVE ANTENNAS

Attorney Docket No. PD-201008A

**SUPPLEMENTAL DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97 (c)(1)**Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
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Dear Sir:

The references cited on the enclosed form PTO/SB/08A may be relevant or material to the examination of the above-identified patent application and are, therefore, submitted in compliance with the duty of disclosure defined in 37 C.F.R. § 1.56.

This statement should be considered because it is submitted after the mailing date of a first Office action on-the-merits, but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

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No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the information disclosure statement (certification under 37 C.F.R. § 1.97 (e)(2)).

This Information Disclosure Statement is not to be construed as a representation that a search has recently been made, that additional information material to the examination of this application does not exist, or that this citation constitutes prior art.

Respectfully submitted,

By: 

Victor G. Cooper, Reg. No. 39,641

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January 22, 2008